NIRI Virtual Chapter
A New Approach to Investor Targeting and Corporate Access
March 20, 2020
12:00 pm – 1:00 pm ET

Speaker Biographies

Moderator



Charles Moreau

Executive Director – Co-Head, Corporate Access, Oppenheimer & Co. Inc.

Over 15 years' Wall Street experience at public and private broker-dealers including Oppenheimer & Co., ITG, Inc., KCG Holdings/Knight Capital Group, Inc., WJB Capital and Robert W. Baird & Co. Background includes over 10 years overseeing sell-side corporate access and investor marketing initiatives across the U.S., Canada, and Europe. Frequent interaction and collaboration with public company C-level executives and IROs. Prior roles also include relationship management, institutional equity research sales, equity capital markets, and investor relations consulting.

Charles holds a Bachelor's Finance Degree from the W.P. Carey School of Business at Arizona State University and maintains the Series 7,24,63,79,86, and 87 licenses.

Panelists



Grant Bartucci

Corporate Access Origination & Research, Point72

Grant Bartucci leads Point72's corporate access origination function. In this role Mr. Bartucci is responsible for the Firm's corporate access origination program, including establishing the Firm's external branding message, relationship development and partnering with the corporate community, and organizing meetings between Point72 investment professionals and corporate management teams. Prior to joining the Firm, Mr. Bartucci worked as an associate for The Goldman Sachs Group and a manager at Ernst & Young.

Mr. Bartucci earned both his Bachelor of Business Administration and Master of Science in Accountancy from the University of Notre Dame, Mendoza College of Business. He currently is a CFA Charter holder, Certified Fraud Examiner, and Certified Public Accountant.

NIRI Virtual Chapter
A New Approach to Investor Targeting and Corporate Access
March 20, 2020
12:00 pm – 1:00 pm ET



Laura J. Guerrant-Oiye

Guerrant Associates, Investor Relations & Corporate Financial Communications

Laura Guerrant is a senior investor relations practitioner with over 25 years of experience in the design and implementation of successful IR programs. She has advised clients in a wide variety of industries including technology, healthcare, biotech, consumer products, REITS and financial services industries; and has deep experience in crisis communications and transactional communications, including IPOs, M&A, proxy fights and secondary equity and debt offerings.

Ms. Guerrant is the owner and principal of Guerrant Associates, an investor relations and corporate communications firm, providing financial communications, investor relations, financial media relations and strategic counsel to publicly held and pre-public companies. The firm offers a range of services from financial communications & corporate counseling to full-service IR programs.

Prior to launching Guerrant Associates, Ms. Guerrant served as the Investor Relations Manager at Asyst Technologies, Inc. (Nasdaq: ASYT), where she launched the company's first internal IR department. Additionally, Ms. Guerrant was an account executive for leading international IR & financial communications firm FD Morgen-Walke's San Francisco office. As a capital markets consultant to sell-side firm Edison Research, she worked with a global team responsible for introducing the firm's international client base to US investors. For 10 years, she has been the co-chair of the *Summit Investor Conferences*, bi-annual events that feature SMID-cap technology companies. She is a member of the BOD for Intro-act, an artificial intelligence (AI) platform reinventing targeting and corporate access in the post-MiFID II era, and is a strategic advisor to InvestorCom, a leading independent full-service shareholder intelligence and proxy solicitation firm.

Ms. Guerrant is a recognized leader in the investor relations community. She served on the Silicon Valley Chapter's Board of Directors for the National Institute of Investor Relations (NIRI). She is a founding member of the NIRI Virtual Chapter, the second largest chapter in the organization, and served as Chapter President for the program year 2005 - 2006. Under her presidency, the chapter won *Best Overall Chapter – Large Chapter and Best Member Services – Large Chapter*. She is a 2006 recipient of the NIRI Leadership Award and served on the 2006 NIRI Annual Conference Planning Committee. She also served on and co-chaired the advisory board for the Semiconductor Capital Equipment Trade Association's (SEMI) Investor Relations Committee, and is a speaker at Investor Relations conferences (Nasdaq and NIRI).

Ms. Guerrant is involved with the community and has worked pro-bono with diverse organizations: Intel International Science and Engineering Fair, a program of the Society for Science & the Public (Intel ISEF), the world's largest pre-college science fair; Communications consultant to the United Nations

Millennium Campaign, dedicated to eradicating extreme poverty and hunger throughout the world; Development Committee for the Parker School, a college preparatory academy located in Kamuela, HI. Ms. Guerrant holds a BA degree from Allegheny College (Meadville, PA) and has completed courses toward an MBA - Finance and Marketing degree at Golden Gate University (San Francisco, CA).



Rahul Mathur

Senior Vice President, Chief Financial Officer, Rambus

Rahul Mathur is responsible for the overall financial direction of the company.

Prior to joining Rambus, Mr. Mathur served as senior vice president of finance at Cypress Semiconductor where he was responsible for financial planning and investor relations for the $2.1B provider of embedded memory, microcontroller, and analog semiconductor system solutions. Prior to that, Rahul served as vice president of finance at Spansion (later acquired by Cypress). Rahul has previously worked at numerous technology companies such as NetSuite and KLA-Tencor, and started his career as a consultant with Arthur Andersen.

Mr. Mathur holds a Bachelor of Arts in applied mathematics from Dartmouth College and an MBA from the Wharton School of Business at the University of Pennsylvania.